



Active and passive eavesdropper threats within public and private civilian wireless networks - existing and potential future countermeasures

SDR'13 Winncomm, session 1, München, 11 June 2013

François Delaveau

Thales Communications & Security; Gennevilliers, France; francois.delaveau@thalesgroup.com;

Antti Evesti ; Jani Suomalainen; Reijo Savola

VTT Technical Research Centre; Oulu, Finland; Antti.Evesti@vtt.fi; Jani.Suomalainen@vtt.fi; Reijo.Savola@vtt.fi;

Nir Shapira

Celeno Communications Ltd; Ra'anana, Israël; Nir.Shapira@celeno.com.

About the Phylaws project

Overview of existing public radio access technologies

Security lacks of public network

About passive threats

About active threats

Existing countermeasures principles

Perspectives offered by physical layer security (Physec)

Conclusion

Annexes

- ◆ This work is supported by the Phylaws project and it introduces its content.
- ◆ Context of the Phylaws project
 - ICT call 8, (17/1/2012) thema 1.1. et 1.4
 - « Future networks »
 - « Trustworthy ICT »
 - 4 Partners:
 - Institut Mines Telecom - Telecom Paris Tech (TPT)
 - Imperial College London (ICL)
 - VTT Technical Research Centre (VTT)
 - CELENO Communications LTD (CEL)
 - Thales communication and Security (TCS)
 - Synthesis of the project :
=> see www.phylaws-ict.org

PHYLAWS
PHYsical LAYer Wireless Security



Project Coordinator
François Delaveau
Thales Communications and Security
Tel: +33 (0)1 46 13 31 32
Fax: +33 (0)1 46 13 25 55
Email: francois.delaveau@thalesgroup.com
Project website: www.phylaws-ict.org

Partners: Institut Mines-Telecom Paris Tech (FR), Imperial College of Science, Technology and Medicine (UK), Teknologian Tutkimuskeskus VTT (FI), Celeno Communications Israel Ltd (IS).

Duration: November, 2012 – October, 2015
Funding scheme: STREP
Contract Number: CNECT-ICT-317562

Informations propriétés de Thales. Tous droits réservés / Thales proprietary information. All rights reserved

PHYLAWS intends to design, prove efficiency and demonstrate realistic implantations of new privacy concepts for wireless networks that exploit radio-propagation phenomena.

⇒ Application scenarios are eavesdropper and intrusion attempts inside public network, and physec countermeasures

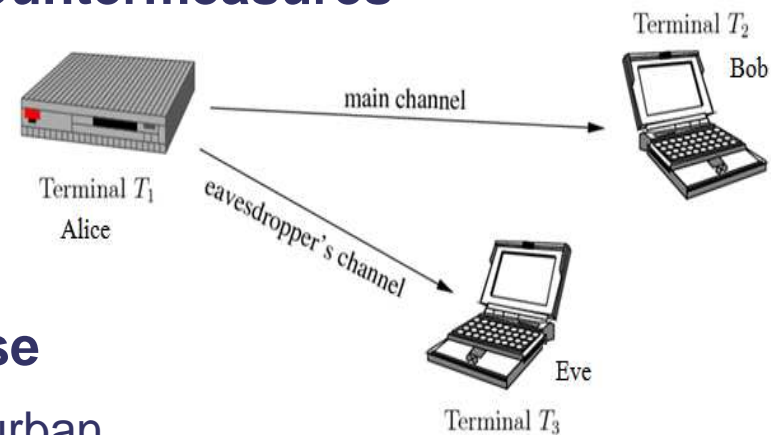
- at transmitted signals
- at network signalling data (databases)
- at subscriber private data (identifiers, etc.)
- at users data (msg content...)

⇒ Propagation environments are diverse

- Outdoor environment, from rural to dense urban
- Indoor environment

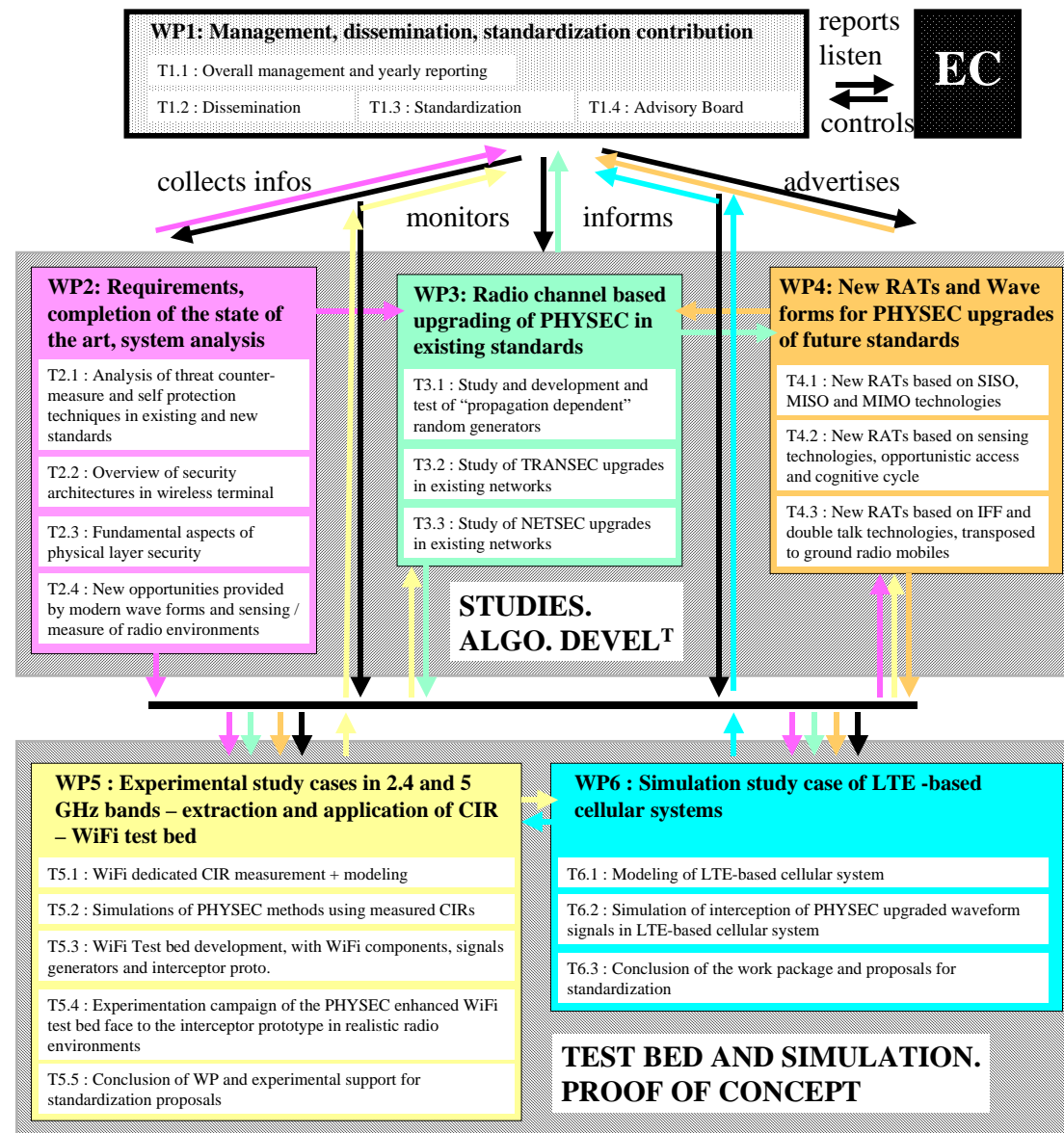
⇒ Any kind of public wireless (radio-cells, WLAN, PMR, SRC, BH)

- Special focus on Wifi: experiments of a Network + eavesdropper test bed
- Special focus on LTE: simulation.



About the Phylaws project

Organization and contents



Overview of existing public radio access technologies

| System | Uplink frequency plan (MHz) | Downlink Frequency plan (MHz) | Channel spacing | Modulation UL - DL | Radio Access Technology | Access mode | Range of Terminal Power | Typical propag. Range | ref standard |
|--------------------|---------------------------------|---------------------------------|-----------------|------------------------|-----------------------------|-------------|-------------------------|--------------------------|----------------|
| GSM 900 | 890 - 915 | 935 - 960 | 200 kHz | GMSK + variants | TDMA/FDMA | Aloha | 2 W | 100 m to 3 km | ETSI |
| DCS 1800 | 1710 - 1785 | 1805 - 1880 | | | | | | | |
| PCS 1900 | 1850 - 1890 | 1930 - 1970 | | | | | | | |
| UMTS | 890 - 915 | 935 - 960 | 5 MHz | (OC) QPSK | DSSS/CDMA FDD and TDD, MISO | Aloha | 0,25 W | 10 m to 3 km | 3GPP |
| | 1920 - 1980 | 2110 - 2170 | | | | | | | |
| LTE | 890 - 915 | 935 - 960 | 1,4 - 5 MHz | OFDMA and SC-FDMA | FDD and TDD, MIMO | Aloha | 0,25 W | 10 m to 3 km | 3GPP |
| | 2500 - 2570 | 2620 - 2690 | | | | | | | |
| IS-95 A/B | 824-844 1850 - 1890 other | 869-889 1930 - 1970 other | 1,25 MHz | OQPSK - QPSK | DSSS/CDMA | Aloha | 2 W | 100 m to 3 km | 3GPP2 |
| CDMA2000 SR1/3GPP2 | | | 5 MHz | | | | | | |
| CDMA2000 SR3/3GPP2 | | | 5 MHz | | | | | | |
| WIMAX | 2402 - 2480 | | 10 MHz | OFDM and QPSK/CDMA | TDD, SC-OFDMA, MIMO | CSMA/CA | 0,25 W | 1 to 15 km | IEEE 802.16xxx |
| | 3400 - 3600 | | | | | | | | |
| | 5150 - 5850 | | | | | | | | |
| WIFI L band | 2402 - 2480 | | 20 MHz | OFDM and QPSK/MC-CDMA | TDD, MIMO | CSMA/CA | 0,1 W | indoor | IEEE 802.11xxx |
| WIFI C band | 5150 - 5850 | | 20 - 80 MHz | | | | | | |
| Bluetooth | 2402 - 2480 | | 157 kHz | 0,5 BT GFSK | TDMA/TDD | CSMA/CA | 0,01 W | indoor | IEEE 802.15.1 |
| Zigbee | 868 - 868.6 | | 2 et 5 MHz | ASK, BPSK, O-QPSK, MSK | CDMA/TDMA | CSMA/CA | 0,01 W | indoor outdoor < 50 m | IEEE 802.15.4 |
| | 902 - 928 | | | | | | | | |
| | 2400-2483.5 | | | | | | | | |
| DVB-T | | 470-862 | 8 MHz | COFDM | FDD, MISO | | 20 - 200 kW | >> 10 km | ETSI |

Main Source :
A Kaiser,
GDR Soc Sip
Paris tech
10 Mai 2011

FDMA (Frequency Division Multiple Access)

- ◆ signal repartition over frequency
- ◆ examples are 1G public standards:
 - NMT,
 - AMPS.
 - ...
- ◆ propagation equalization is required in receivers
- ◆ hopped frequency and opportunistic frequency variants
 - ⇒ Military,
 - ⇒ Automatic Link Establishment (HF modem, ancestry of C.R.)

TDMA (Time Division Multiple Access)

- ◆ signal repartition over time slot
- ◆ mixt TFDMA/FDMA variant with hopped frequency
- ◆ propagation equalization is required in receivers
- ◆ examples are
 - 2G public standards (GSM, D-AMPS IS 54/136)
 - short range radios : Bluetooth, Zigbee, DECT,
 - numerous tactical VHF Military ad hoc radios (PR4G, Sincgars)

CDMA (Code Division Multiple Access)

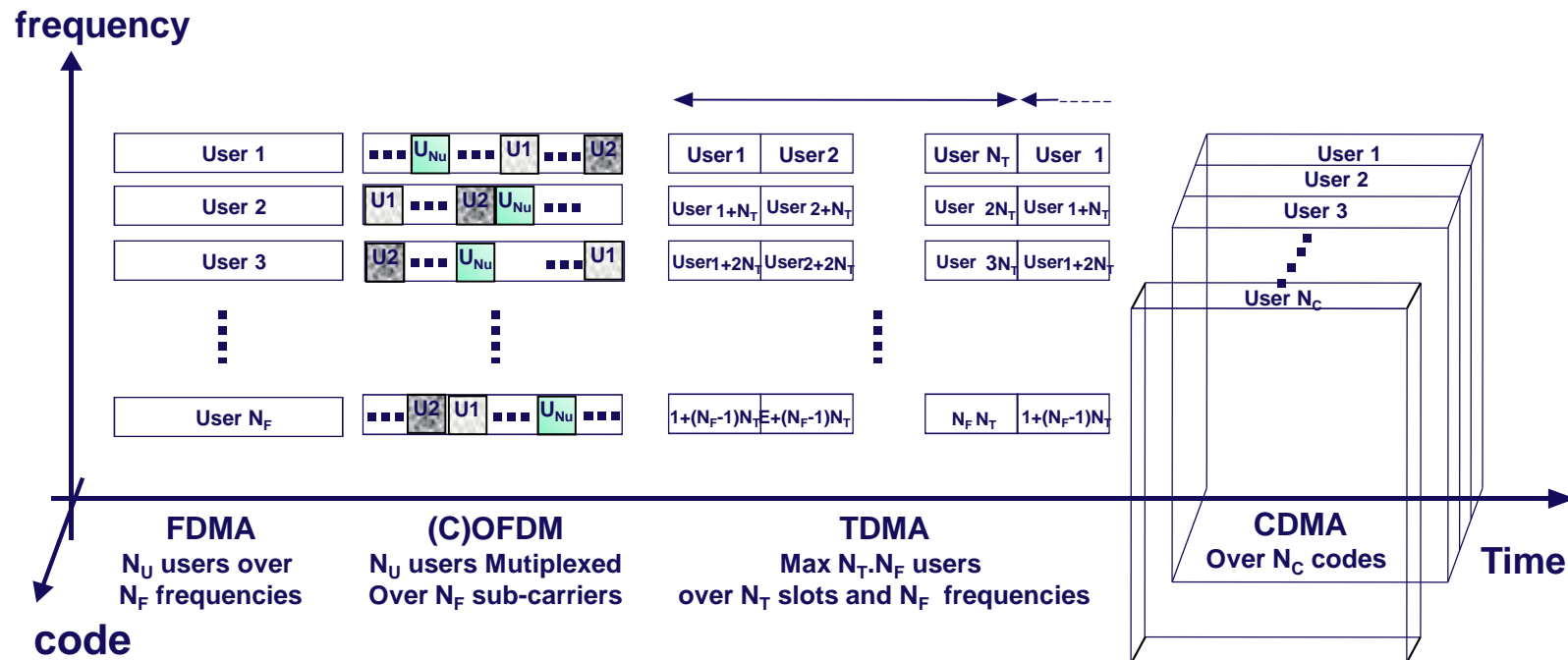
- ◆ signal repartition over scrambling codes + spreading codes
- ◆ receiver Rake processing
- ◆ mixt CDMA/FDMA/TDMA variants with hopped frequency / slots
- ◆ examples are
 - 3G public standards (3GPP, 3GPP2),
 - WLAN (802.11a)
 - several UHF and SHF Military ad hoc networks (ex: MIDS).

OFDM (Orthogonal frequency Division Multiplex)

- ◆ signal multiplexing over frequency
- ◆ simplified equalization in receivers (when facing frequency selective fading)
- ◆ numerous examples:
 - Digital broadcast: DAB DVBT/H, DRM,
 - Numerous WLAN: Wifi, Wimax
 - 4G radiocells : LTE
- ◆ specific planning capabilities (Single Frequency Network, MISO, MIMO)
- ◆ numerous variants and derived RATs : COFDM, O-FDMA, SC-FDMA, SC-FDE

Overview of existing public radio access technologies

Illustration of FDMA TDMA CDMA OFDM



Overview of existing public radio access technologies

Initial radio exchanges of the radio access protocol

Radio-Cellular
model

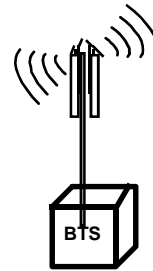


Applies roughly to
any modern
digital standard



First exchanges
of radio
access protocol
are the weakest ones
regarding privacy
and security of
public radio standards

« Serving »
Cell
Access Point
Node

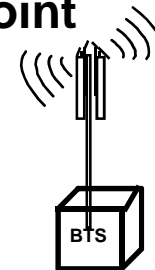


Main
Broadcast
Signaling
Channel
(Downlink)

Access
Channel
(Uplink)

(Dedicated)
negotiation
Channel
Then
Traffic Channel(s)
(Downlink /Uplink)

« Neighbour »
Cell
Access Point
Node



Neighbour
Broadcast
Signaling
Channel
(Downlink)

Handset
Terminal
(mobile or not)



Some Native trends involve privacy lacks

When Standards address a worldwide mass market with numerous operators and industrials

- => difficult to share deep secret among millions of subscribers/terminals worldwide
- => signal received for early access attempts must be easy to detect/decode
- => signaling must be easy to interpret/understand
- => implies simplified early registration and authentication procedures
- => facilitates mimic attacks of legitimated nodes and mitm attacks thanks to a minimal checking of signaling and to recovery/recording of network planning + traffic load

When Standards address mobile service

- => location updating (roaming) and handover are necessary
- => large scale sharing of subscribers data bases
- => recurrent /repeated subscriber authentication
- => recurrent measurement of radio link and reporting + associated power control

When Standards address cognitive/opportunistic RAT

- => Geo-referenced database downloading
- => geo-referenced access attempts
- => (geo-referenced) sensing and reporting

When terminal are multi-Standards or muti-RATs

- => impeachment of one RAT often forces the use of the other available RATs, even when weaker.

When Backward Compatibility of Security mechanisms occur

- => example is EPS AKA in LTE compatible with previous GSM and UMTS
- > security information got on GSM or UMTS may be re-used within LTE network

Some standards have Intrinsic privacy failures

Channel State Information (CSI) negotiation

- => in Wifi and in many other MIMO RATs
- => most often in clear text

Subscriber/terminal dependent traffic channel allocation instead of full random allocation

- => in 3GPP2 RAT public modes.

Low combinatory pilot symbols in traffic channel

- => 3GPP and others

Clear text delivery of radio resource characteristics

- => frequency hopping sequences of intermediate channels (SDCCH GSM)
- => frequency hopping sequences of traffic channel (Bluetooth)
- => pilot codes of access paging channels and intermediate control channels (3GPP, 3GPP2)

Random generation and management of system time

- => conception defaults or random generators in Bluetooth
- => shared public GPS time in 3GPP2.

“Master management“ of security concentrates weaknesses/attacks on one node (example Zigbee).

Conception defaults of Cipher / protection algorithms

- => weak WEP keys initially used in Wifi, unencrypted MAC header in Wifi Frames.
- => unprotected transmission of terminals' and nodes' cipher capabilities: GSM, Wifi, other...
- => weak cipher mode A5-2 of GSM
- => clear text SMS in many standards

In addition privacy risk may be improved in “real life”**Added geo-location services**

- => imply more frequent location updating + transmission of relevant message
- => very frequent in Satellite mobiles (facilitate routing and billing) and in multi-RAT terminals
- => very often geo-location data are in clear text, especially at earliest RAT stages

Economic competition among standards, unexpected publications and hacker activities

- => publications that point out weaknesses of adverse standards
- => un expected publication of MoUs secrets; examples are A3/8 and A5 algorithm of GSM
- => web publication of subscribers list by hackers with relevant identifiers
may lead to (successful) attempts for cloning SIM card, passive monitoring of voice/data services, etc.

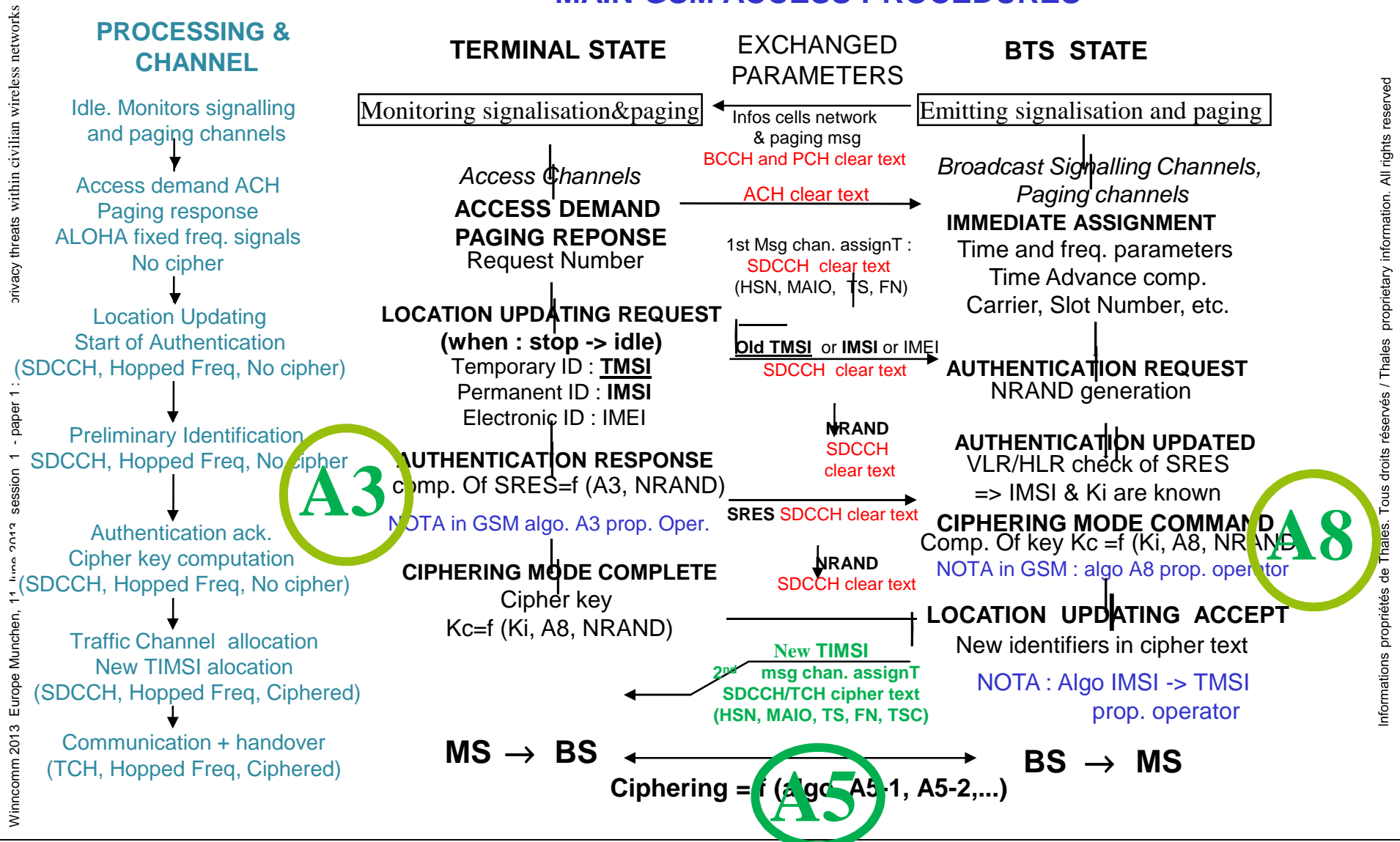
Sub-optimal radio-engineering practices

- => radio engineering is facilitated when minimum security options are activated
- => “GSM atavism” of some network technicians/operators
- => a typical example is single sense AUTH in many UMTS networks,
even if 3GPP specifies dual sense AUTH procedure

Sub-optimal terminal conception: many terminals have pre-SIM cards inside (test in production chain several have default in their protocol implantation).

Legal restrictions : best protection may be non-authorized in several countries or in specific location (exterior to EU in general)

MAIN GSM ACCESS PROCEDURES



Frequency hopping is an option (depending of operators choice).

Many of Channel assignments characteristics are stationary in practice (depending of operators choice)

Signaling is in clear text

- => easy recovery of cell synchronization
- => easy decoding of cell frequencies (CA list), easy recovery of network structure and planning (BA list)
- => easy decoding of First assignment message (SDCCH supporting AUTH and cipher procedures)

Authentication procedure is poor

- => single sense only : BS authenticate MS but MS does not authenticate BS
- => MS spoofing is possible with a fake BS (typical example of a fake BS is a mobile tester)
- => NRAND and SRES in clear text
- => A3 should remain and operator's secret it is known that most operator uses the COMP128 algo. and the COMP128 algo was published in the late 90s
- => unexpected publications of subscriber lists including Keys Ki and identifier IMSI.

Identity management is weak

- => old TMSI is transmitted in clear text in the early stage of RAT
- => use of TMSI is not systematic (IMSI is frequent in border zones, airport, etc.)
- => change of TMSI is not systematic (operators' management)
- => many operator use COMP128 derived algo. to compute TMSI from IMSI

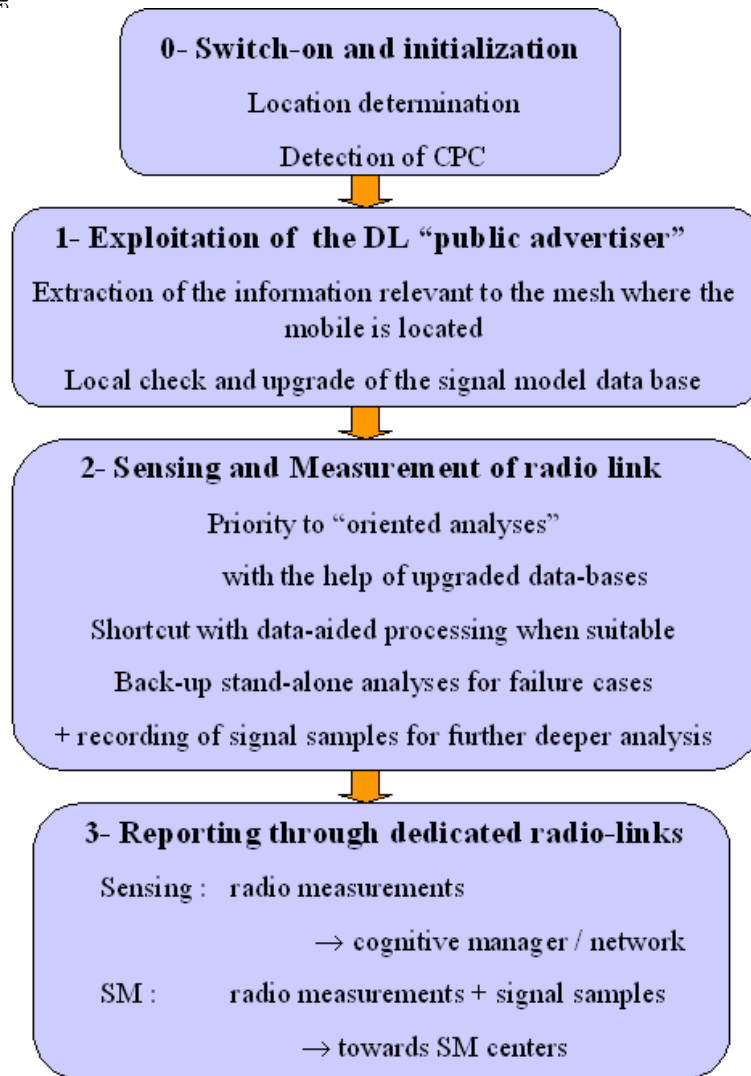
Cipher management is weak

- => ciphering capabilities of MS are transmitted in clear text without integrity control
- => A8 for most of operators is COMP128 (published). A5 is published
- => change of Kc is not systematic at each new session (operators management)

Sensing and downloading – protocol aspects

Source : E²R project, White Paper Nov 2007

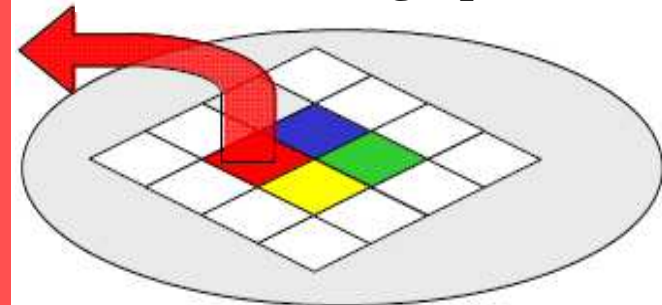
networks



CPC information

- mesh dependant
- contains relevant updated data describing the way spectrum is locally used in mesh #i

Mesh #i Geographic area



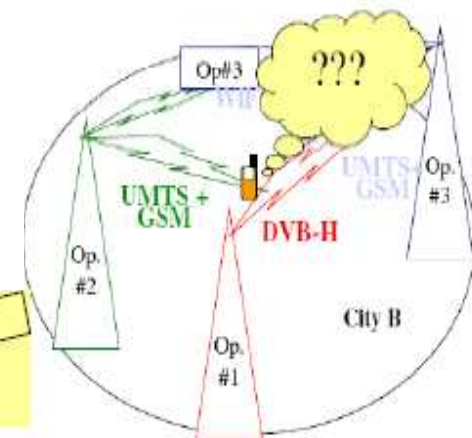
CPC mesh organization

CPC DL “public advertiser” concept

At switch on:
The terminal does not know the “current” configurations of the various networks, neither the frequency bands allocated to the Radio Access Technologies (RAT)



Here is the answer !



... information is proprietary to Thales. All rights reserved

Geo-referenced database downloading will inform about

- => the available radio-networks
- => the relevant radio-access parameters.

. Terminal will perform sensing and report to nodes about the local radio spectrum.

. Terminals should perform geo-referenced access attempts

- => systematic transmission of subscribers' locations in the early stages of the negotiation protocols

. Dedicated “beacon” signals such as DL/UL-CPC (Down Link and Up Link Cognitive Pilot Channel)

- => broadcasted in order to support terminal and nodes
 - downloading and sensing
 - channel sounding procedures
- => Network downloading and terminal embedded sensing should be based on a DL-CPC.
- => Sensing information reporting and BS/node sensing should be based on the use of a UL-CPC signal.

Simplification of early procedures,

- => both DL-CPC and UL-CPC should be designed for
 - fast recognition
 - + accurate measurements
 - + easy decoding.

Strong security risks:

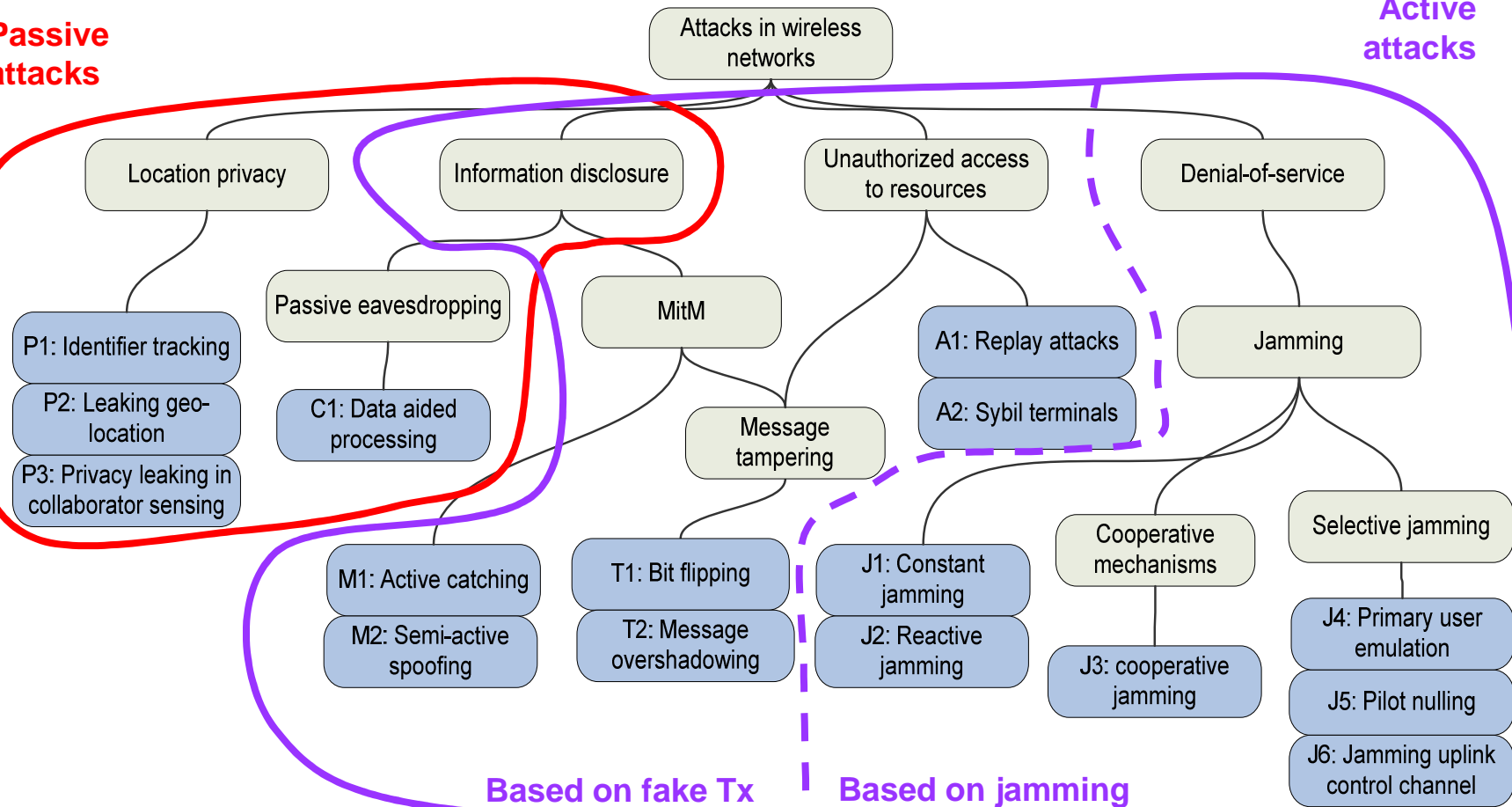
- => massive accurate information is broadcasted every time everywhere about neighbor network and MS
- => DL-CPC and UL-CPC are perfect targets for eavesdropper and radio hacker systems

Security lacks of public network

An attempt for threat classification

Passive attacks

Active attacks



Informations propriétés de Thales. Tous droits réservés / Thales proprietary information. All rights reserved

Data aided signal processing achieve good eavesdroppers' performances
 Smart antennas achieve very good eavesdroppers' performances

"Direct" Inter-correlation

- Early detection and recognition
- Protocol structure recovery

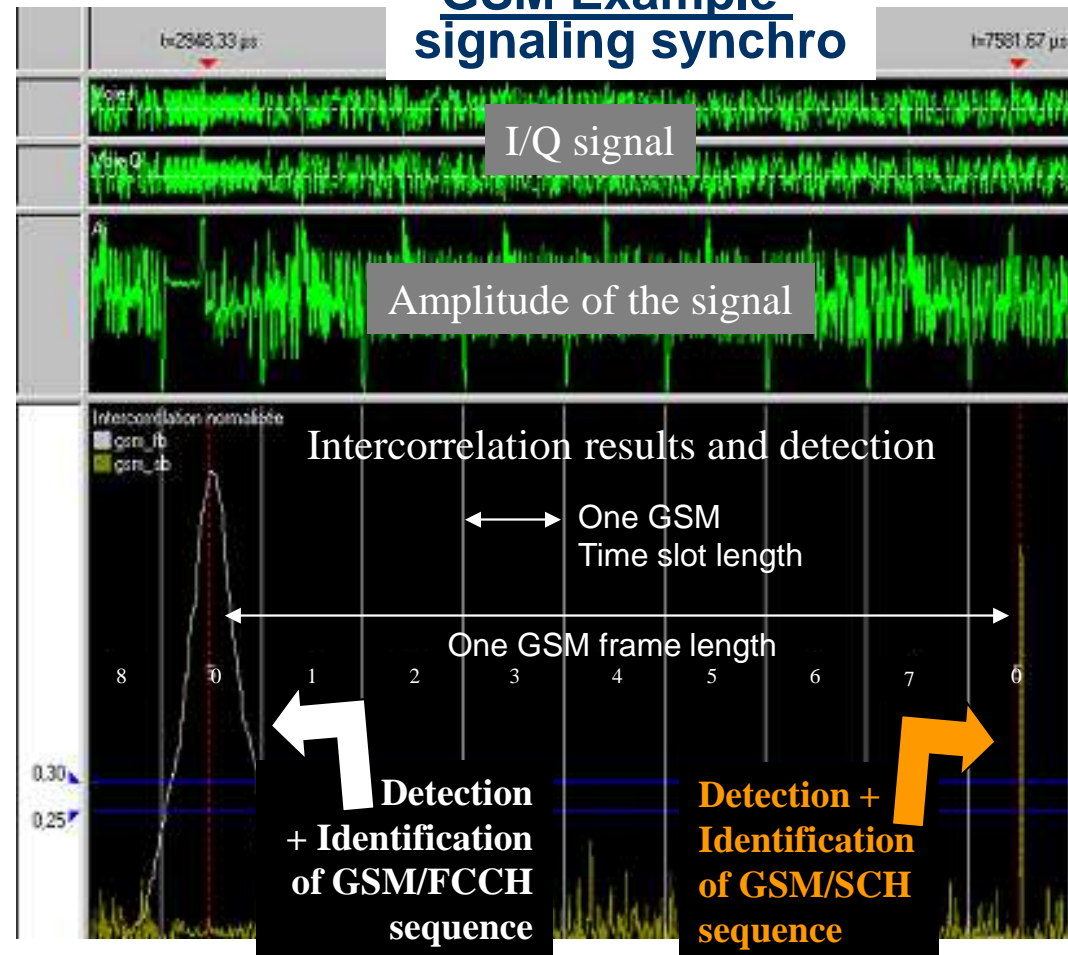
Direct identification

- Modulation parameters
- Radio access protocol
- Set of coding schemes

Comparative advantages

- > When Low combinatory and low Doppler domain
 => reduced complexity
 => real Time OK
- > Processes low powers signals
 SISO eavesdropper : $\text{SINR} > 6 \text{ dB}$
 SIMO eavesdropper : $\text{SINR} > -10 \text{ dB}$

GSM Example – signaling synchro



Informations propriétés de Thales. Tous droits réservés / Thales proprietary information. All rights reserved

Data aided signal processing achieved good eavesdroppers' performances
 Smart antennas achieve very good eavesdroppers' performances

GSM Example : measurement and decoding of broadcast signaling

| Radio parameters | | | | Network parameters | | | | |
|------------------|----|------------|---------|--------------------|-------|-----|------|---------|
| | FU | Level(dBm) | C/I(dB) | CI | LAC | MNC | BSIC | FN |
| BTS1 | 70 | -99.2 | -13.1 | 39911 | 33391 | 1 | 50 | 69932 |
| BTS2 | 70 | -94.4 | -7.9 | 35562 | 240 | 20 | 40 | 1251388 |
| BTS3 | 70 | -89.0 | -0.6 | 2581 | 21235 | 1 | 2 | 1119767 |

| | List of Cell Allocated frequencies | List of Border Cell beacon frequencies |
|------|------------------------------------|------------------------------------------------------|
| BTS1 | 70 | 98 99 100 102 103 104 107 108 111 |
| BTS2 | 70 101 | 90 94 100 104 110 116 118 124 675 681 689 697 705 |
| BTS3 | 70 113 114 115 116 117 118 119 120 | 101 103 110 |

Data aided signal processing achieved good eavesdroppers' performances
 Smart antennas achieve very good eavesdroppers' performances

GSM Example: decoding of dedicated signaling (PCH, SDCCH etc.)

Access to access protocol parameters : below authentication result
 Then demodulation of ciphered messages => ready for cipher attack

| Layer 3 messages | | | | | | | |
|------------------|----|-------------------------|----------|--------|-------|--------|----|
| Sense | | Message | Frame ID | length | Frame | Number | |
| DL | OM | Sync Channel Info | 0x013819 | 4 | 31 | 28 | 25 |
| DL | RR | System Info Type 6 | 0x013824 | 9 | 42 | 28 | 10 |
| DL | OM | Sync Channel Info | 0x013823 | 4 | 41 | 28 | 09 |
| UL | MM | Authentication Response | 0x013822 | 4 | 40 | 28 | 08 |

| Message content | | | |
|-----------------|-------------|----------|--------------------------------------------|
| Offs. | Bytes (hex) | Mask | Fields |
| -2 | 05 | 00001111 | Protocol Discriminator = Mobile Management |
| -2 | 05 | 11110000 | Skip Indicator = 0 |
| -1 | 54 | 00111111 | Message Type = Authentication Response |
| | | | Message Content: |
| | | | Authentication Parameter |
| | | | SRES = 4E 5A B3 58 |

Data aided signal processing achieved good eavesdroppers' performances
 Smart antennas achieve very good eavesdroppers' performances

**A => Complete identification of
 3GPP/WCDMA Node-Bs**

I- Smart antenna techniques for source separation (Matched Spatial Filter)

II- Three stages processing

- slot synchronization
- CPICH descrambling
- CCPCH demodulation and decoding

Cf. Antium FP7 project (2003)

**B => Complete de-spreading and
 demodulation of DL traffic**

SISO Eve: usual are

SINR down to -18 dB with P-SCH

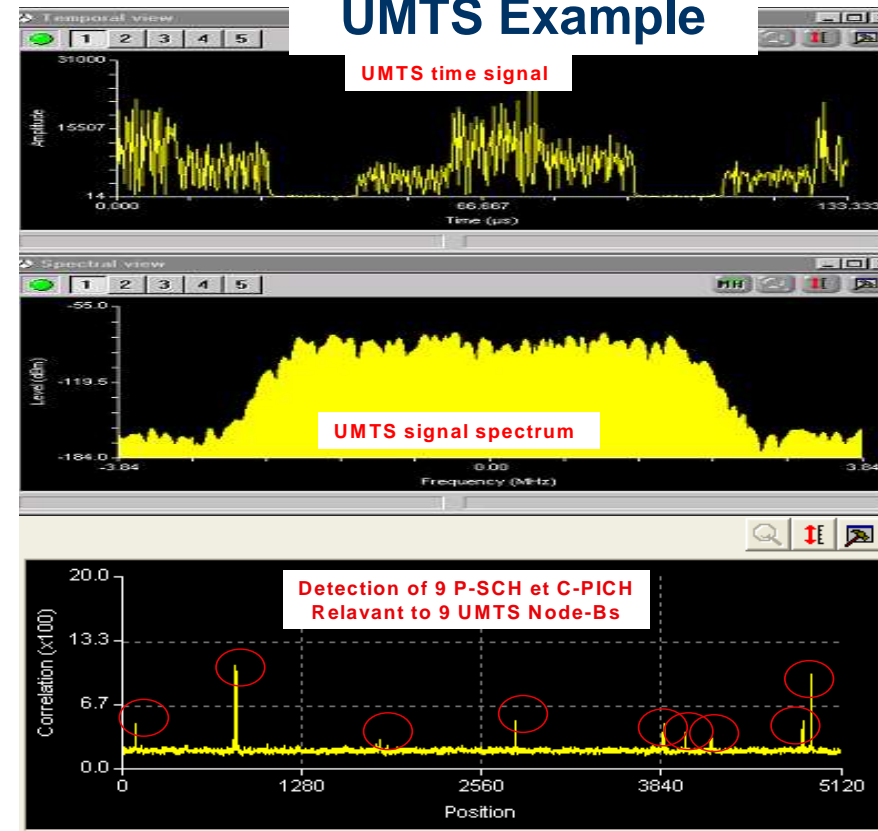
SINR down to -30 dB with P-CPICH

SIMO Eve: usual are

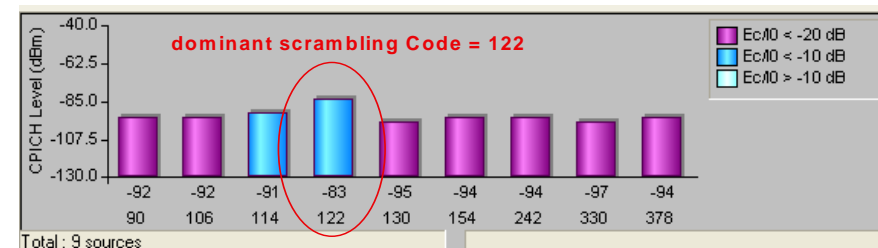
SINR down to -30 dB with P-SCH

SINR down to -50 dB with P-CPICH

UMTS Example

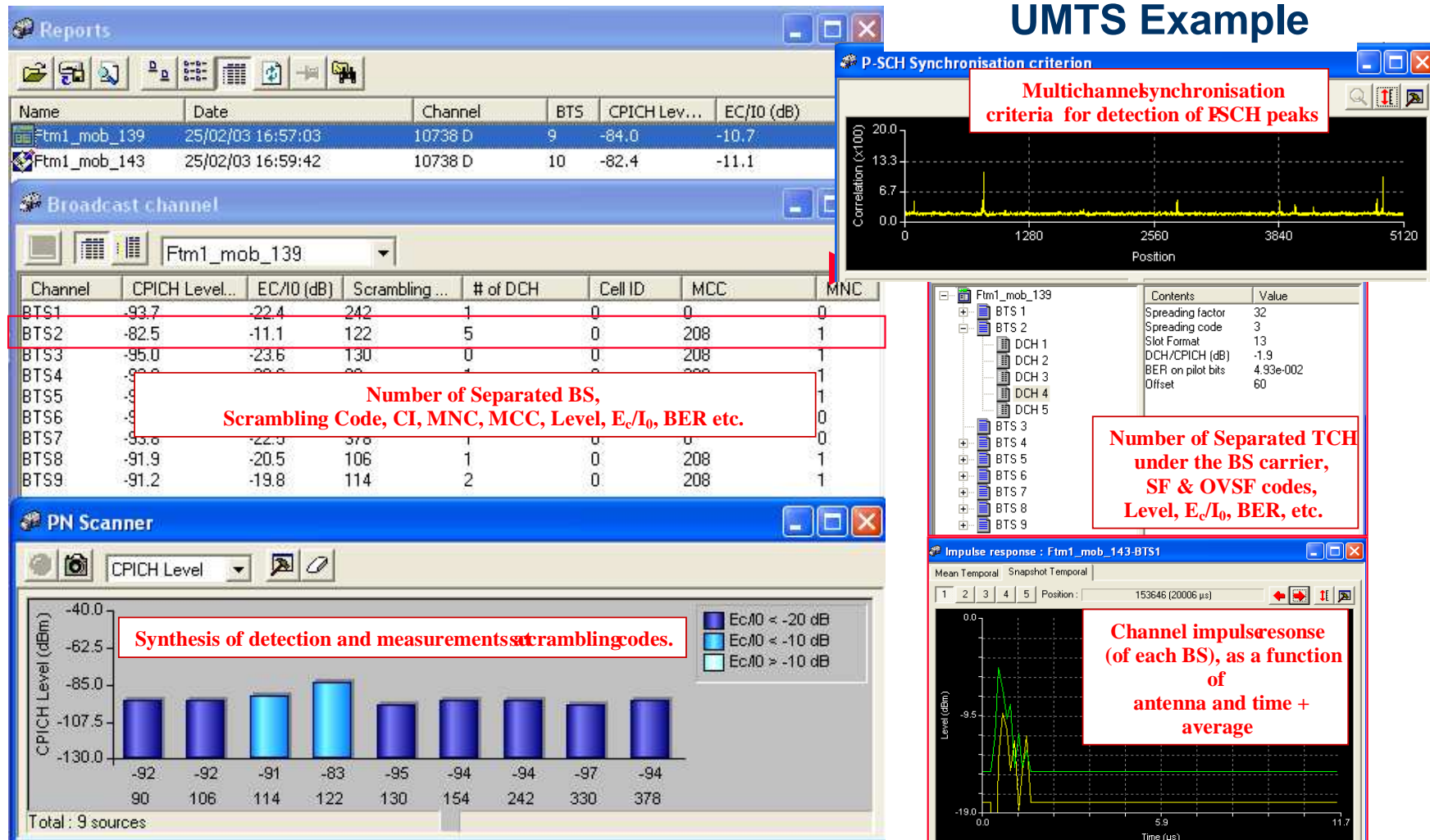


Synthesis of the completed detection of SCH and P-CPICH

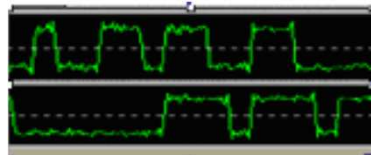


Data aided signal processing achieved good eavesdroppers' performances
Smart antennas achieve very good eavesdroppers' performances

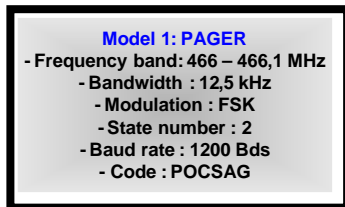
UMTS Example



Even non standard civilian signal may be weak when facing oriented Eavesdropper processing



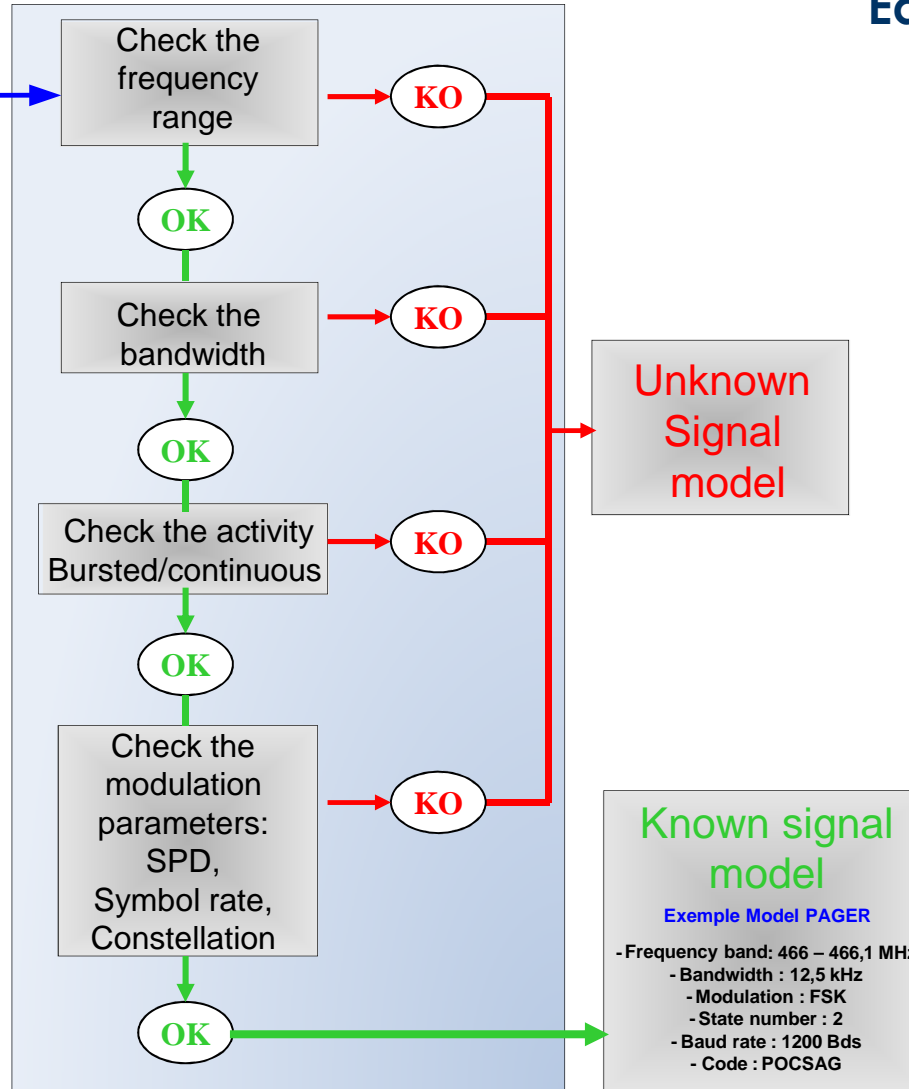
Example of Input Signal



Example of semantic model from data base

⇒ **Step by step oriented analyses + checking of semantic characteristics**

⇒ **Very efficient when dealing with digital modulations**



1/ «expert system» type

2/ progressive estimators

- signal enveloppe,
- modulation parameters
- code parameters

3/ from general to dedicated

4/ model comparison at each step

⇒ leads the following processing

⇒ reduces combinatory

See annex

Main advantages of passive attacks

- ⇒ Discrete and anonymous
- ⇒ May be selective on the targeted victim
- ⇒ Usually more efficient in DL sense (power budget, more stationary propagation)
- ⇒ May be re-enforced with directive antennas, and with smart-antennas
- ⇒ May be implanted with massive recording + off line processing

Main drawbacks / difficulties of passive attacks

- ⇒ Very sensitive to radio environment (see examples in annex)
 - ⇒ Spectrum occupation
 - ⇒ Propagation losses (especially in UL sense)
 - ⇒ Interferences
 - ⇒ Disturbed by the power control of the legitimate link

Main principles for counter-measure of passive attacks

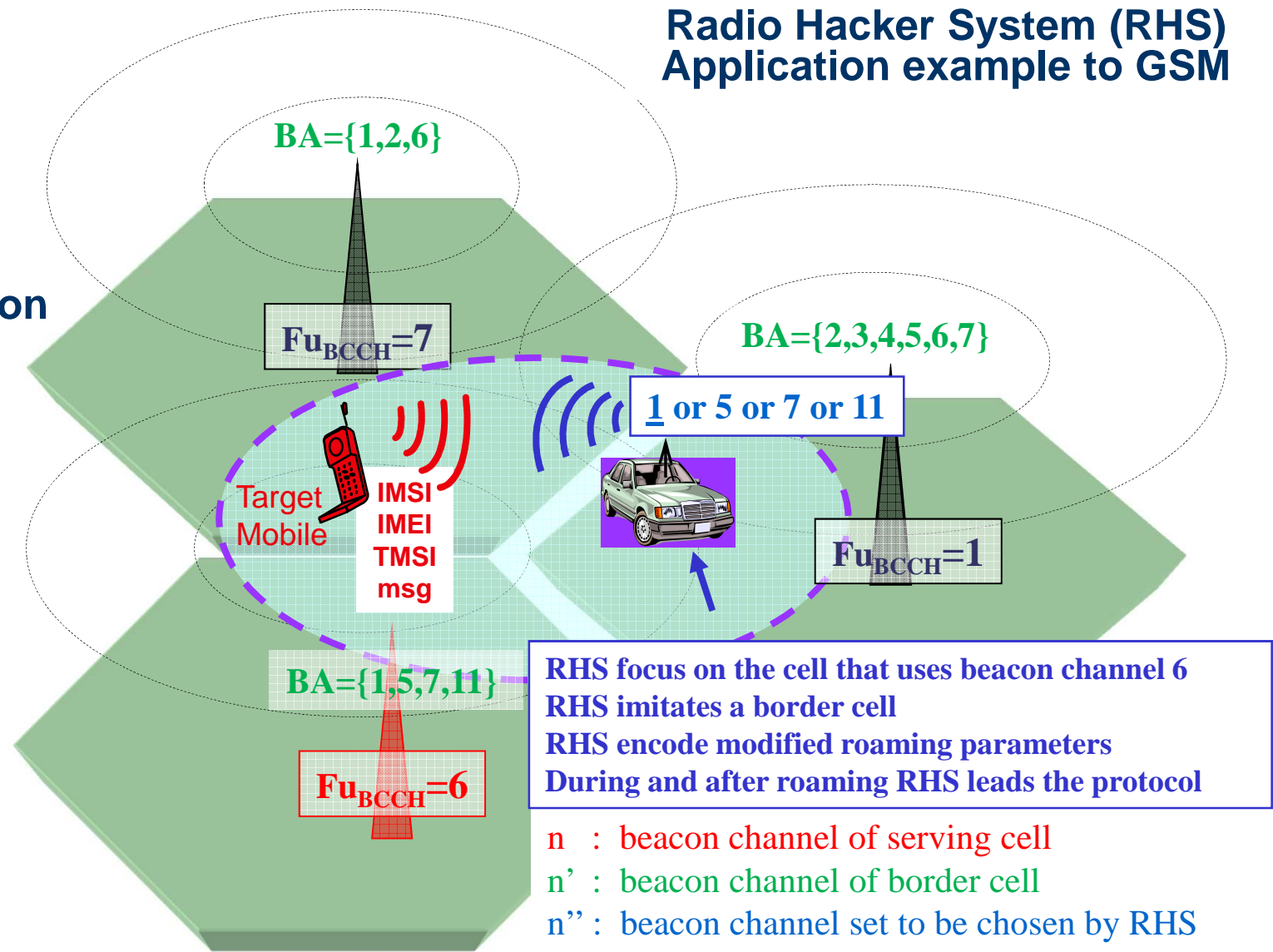
- ⇒ Reduced DSP of signals
 - Direct spread spectrum;
 - Tag signal under main signals
- ⇒ Furtive signals (frequency hopping, low duty cycle and time hopping)
- => Earlier protections (ideal would be protected of signalling and paging – see paper 2)
- => Advanced coding + ciphering, secrecy codes

RHS exploit:

- Network signaling**
- +**
- Authentication Defaults**
- +**
- Forcing of Location updating procedure**
- +**
- added jamming**

Radio Hacker System (RHS)

Application example to GSM



Radio Hacker System (RHS) Application example to GSM

Beacon channels

Same Identifiers are cloned Except roaming LAC

different synchronization Parameters

Power difference is significant

| BCCH | FU | CI | LAC | MCC | BSIC | FN | Level (dBm) | C/I (dB) | |
|-------|----|-------|-------|-----|------|-------|-------------|----------|---------------------------------------------------|
| BCCH1 | 22 | 19607 | 24576 | 208 | 33 | 43572 | <-98.3 | <-20.0 | Real BTS (Neighbor) |
| BCCH2 | 22 | 19607 | 00001 | 208 | 33 | 1972 | -60.7 | 14.6 | RHS Transmitter (programmable MS protocol tester) |

Note : This example is a real field record
 Measurement and diagnosis are achieved with a dedicated system
 GSM/UMTS interference analyser
 Smart antenna technology
 Direct scanning of GSM band + carrier measurement,
 No a priori knowledge for detection,
 A posteriori validation (consultation of network database)

Main advantages of active attacks

- => The RHS controls the protocol (such as a real BTS) => Many capabilities :
 - forcing relocation of MS targets
 - catching IMSI and IMEI instead of TMSI
 - forcing a non/higher-encryption mode for easy monitoring,
 - possible coupling with a DF (filtering target) and smart antennas
 - blocking the MS target communications

Main drawbacks / difficulties of active attacks

- => The RHS has to overhead the real network and the cell re-selection criteria
 - power disadvantage especially when facing urban BS,
 - usually low effect range
- => “Basic” RHS are very indiscrete, thus easily detectable
 - with a tracking mobile at low range, with a SM System at large range (see page above)
- => “Basic” RHS turn the mobile off the network (MS is not reachable yet)
- => RHS are “real time” only
- => “Basic” RHS disturb many mobiles in the neighborhood.
 - saturation risk of the RHS because of multiple roaming/detach of non-targeted terminals.

Note there exist more advanced RHS concepts :

active and more discrete, semi-active, re-enforced with selective jammer, etc.

Main principles for counter-measure of active attacks

=> Re-enforce cell-reselection criteria

=> Re-enforce subscriber and network Authentication:

=> at least dual sense

=> Re-enforce signal integrity control and identification of received signals :

=> More accurate check of dual sense synchronization

=> Radio-electric Tag with heterogeneous signal

=> Re-enforce message integrity control

=> When facing semi active attacks

=> When facing advanced RHS that achieve accurate synchronisation on legitimate links

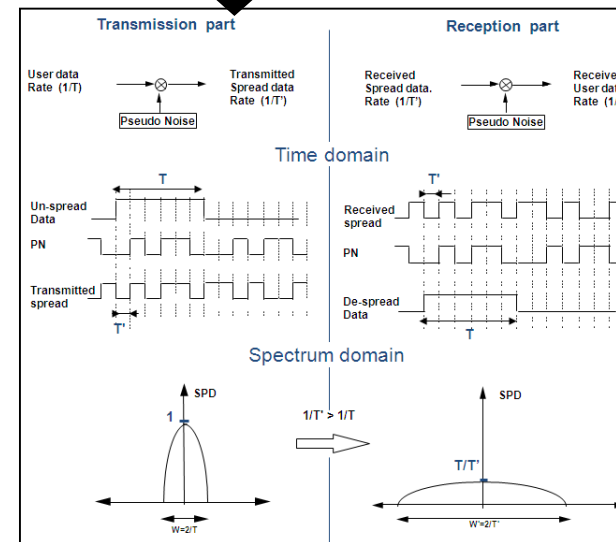
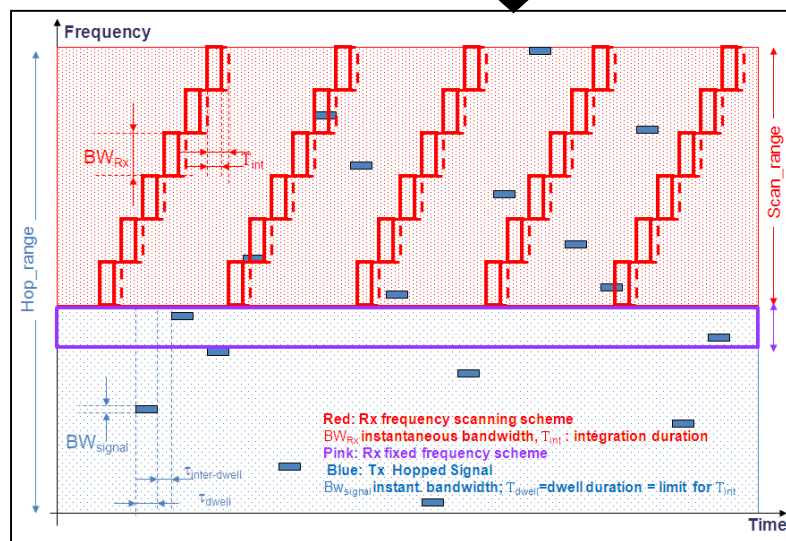
TRANSEC (Transmission Security):

=> relevant to the protection of the wave form

- Face to interception/direction Finding
- Face to jamming of the user receiver,
- Face to intrusion attempts into the radio-communication access protocol.

Mainly at the radio interface.

Usually based on frequency hopping and spread spectrum technologies



An other innovative idea is intentional cooperative jamming at the legitimate Tx part associated with MISO or MIMO RATs

NETSEC (Network Transmission Security)

=> relevant to the protection of the content of signalling

Either at the radio interface and Medium Access Control + request to upper layer.

Usually based on authentication and identification of transmitters
integrity control of signalling data
+ ciphering of signalling (military communications)

COMSEC (Communication Security):

=> relevant to the protection of the content
of the user messages (voice,data).

Either at the radio interface and at upper layer (management).

At several protocol layer and interfaces (examples are point to point ciphering of each user data flux, ciphering of IP packets, ciphering of artery, etc.).

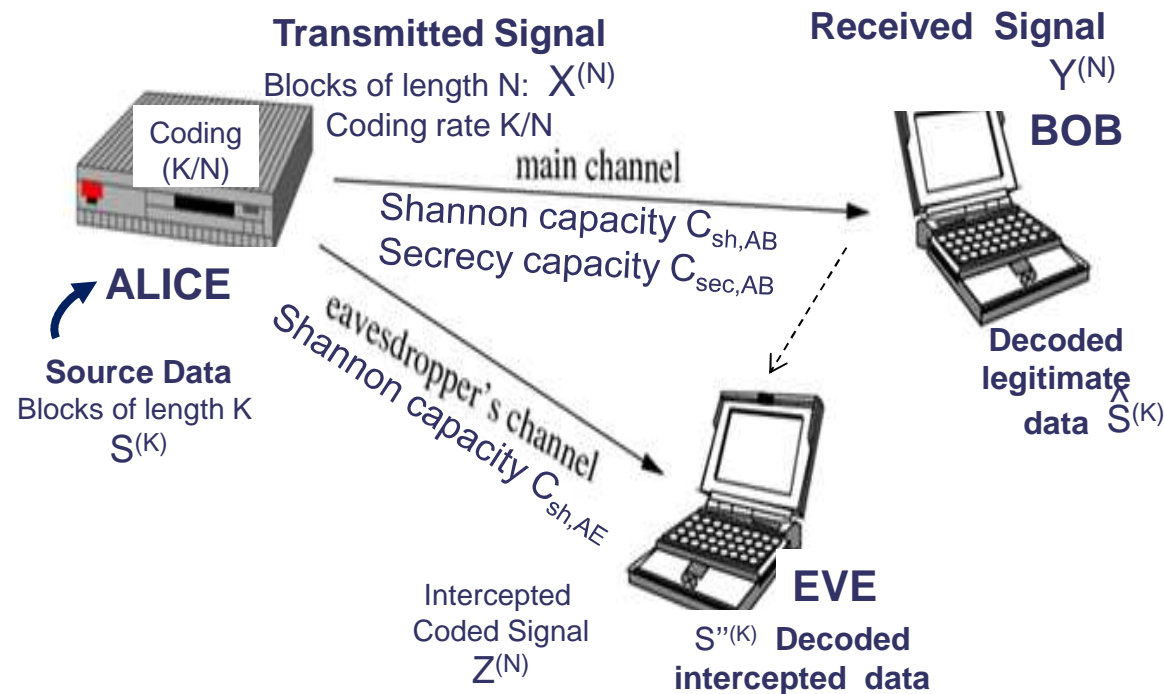
Usually based on ciphering,
authentication and integrity control of signalling and users data

PHYSEC (PHYSical layer SECurity) :

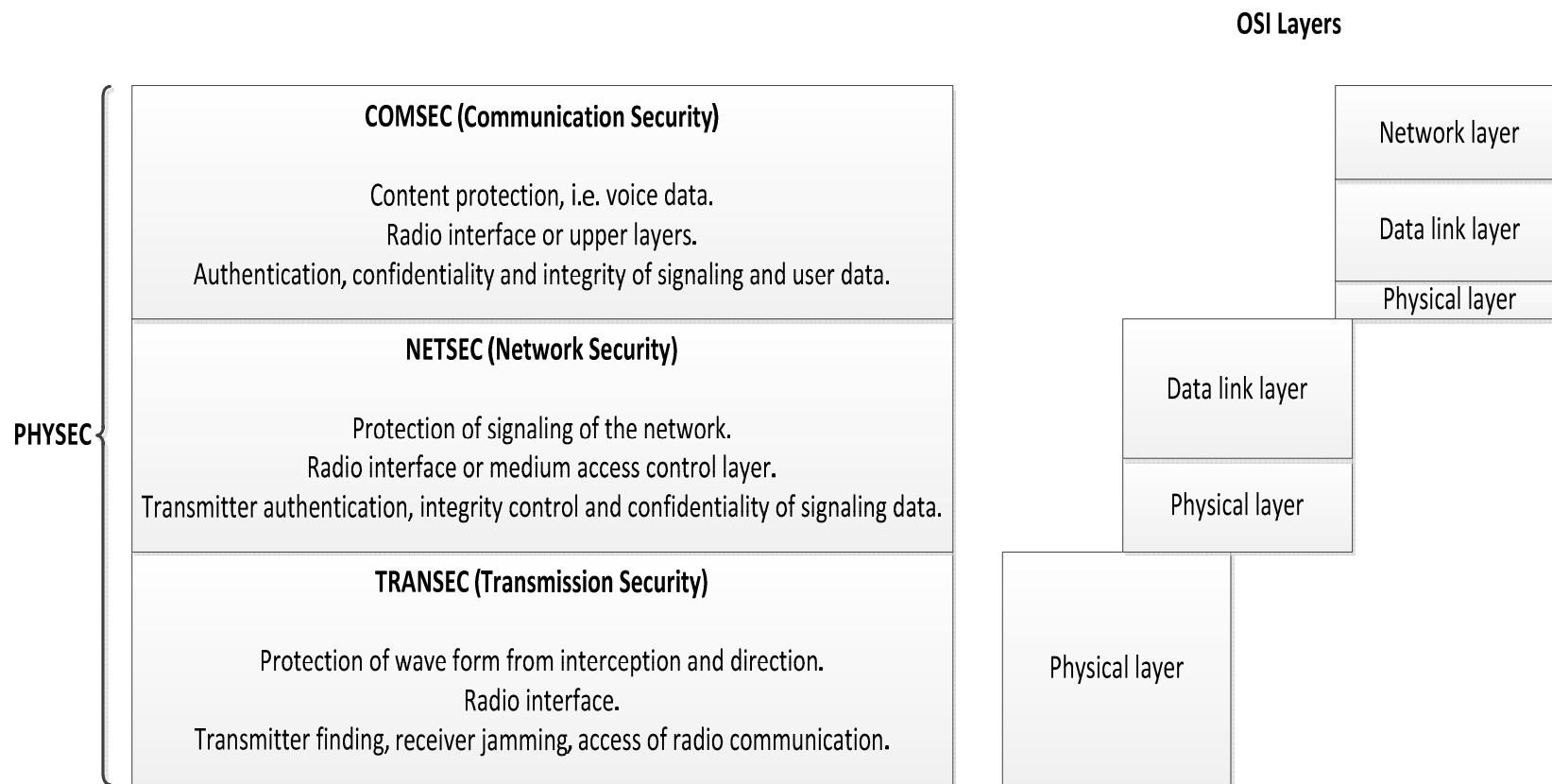
- => generic
- => all kind of protection technique that is based on the use of the physical layer sensing and/or measurement.

Native physec is based on secrecy codes, i.e. modified channel codes

- So that legitimate information from Alice to Bob approaches Shannon capacity
- So that information from Alice to Eve is mitigated.



Impact of TRANSEC NETSEC COMSEC and PHYSEC to OSI layers:



The (network) impact of phylaws' innovations is expected to remain limited

⇒ Native secrecy coding & physec solutions apply mainly at the radio interface of handsets and communication nodes

- at modulation and coding stacks
- at radio access protocol stacks
- no transec nor comsec key

=> expectations are “No impact at upper layer protocol”
 “No impact at network architecture”

⇒ Merged Physec + transec solutions could lightly impact the PHY layer and at the MAC layer

- dedicated wave forms for
 initial access attempts (ACH phase, IFF modes)
 authentication procedures
- revised “channel sounding” procedures (MIMO, Wifi, LTE-A...)
- upgraded sensing procedures (cognitive radio)

=> expectation is “No more constraints at upper layers than existing”

The (network) impact of phylaws' innovations is expected to remain limited (follow on)

⇒ Merged physec + comsec solutions should simplify existing ciphering and integrity control schemes

- **introduce “new random”** inside existing ciphering procedures (such as self-synchronized stream cipher)
 - . that would be propagation dependant
 - . that would take benefit of Alice-Bob and Alice-Eve un-correlated channel
 - . That would use no added keys neither redundancy
- **limit redundancy** inside signalling and users' messages, thus increase effective data rates for users and spectrum efficiency
- **limit/avoid the use and the exchanges of ciphering keys**

⇒ The expectations are both enhancement, simplification, and redundancy reduction inside existing netsec/comsec procedures

Existing wireless public standards are weak regarding security and privacy at the radio interface

Physec should provide practical and significant enhancement perspectives for wireless security

A complementary presentation follows on PHYSEC concepts:

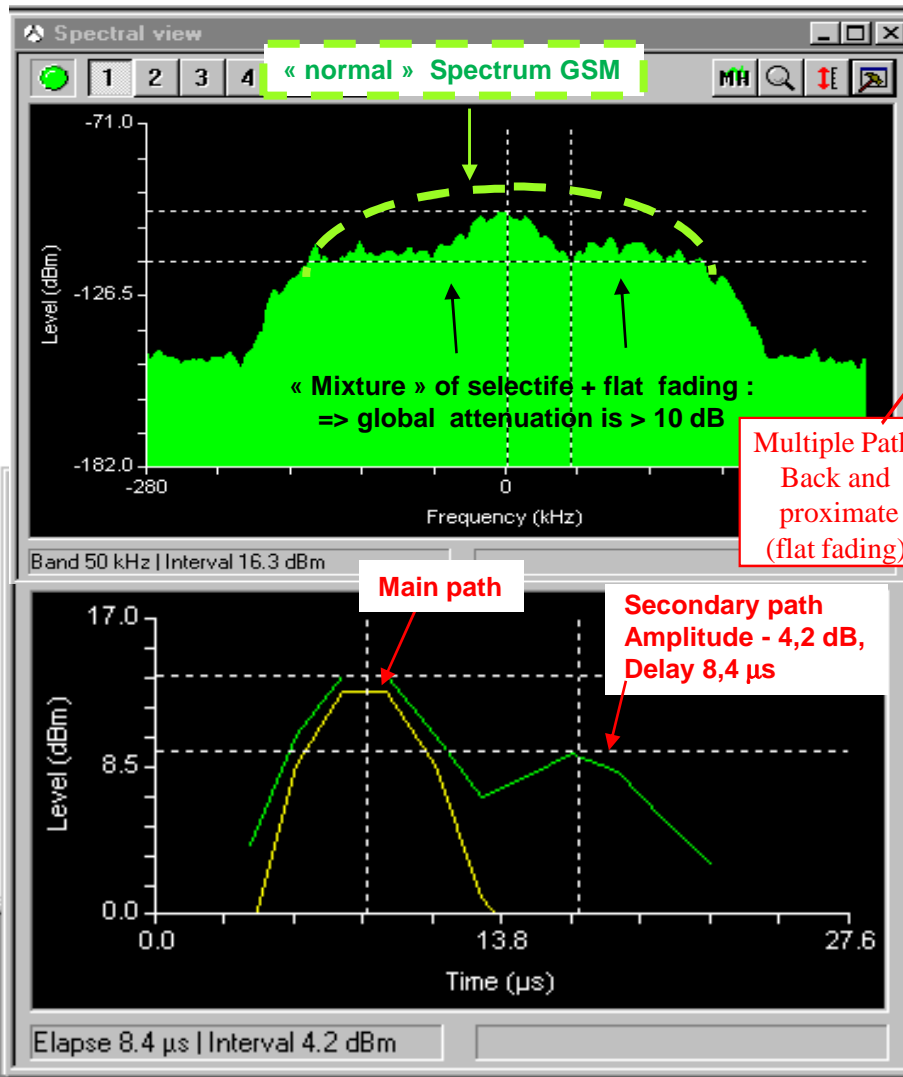
- Deeper explanations of physec concepts
- State of the art
- Perspectives for transec, netsec and comsec of public standards

Some annexes are given hereafter

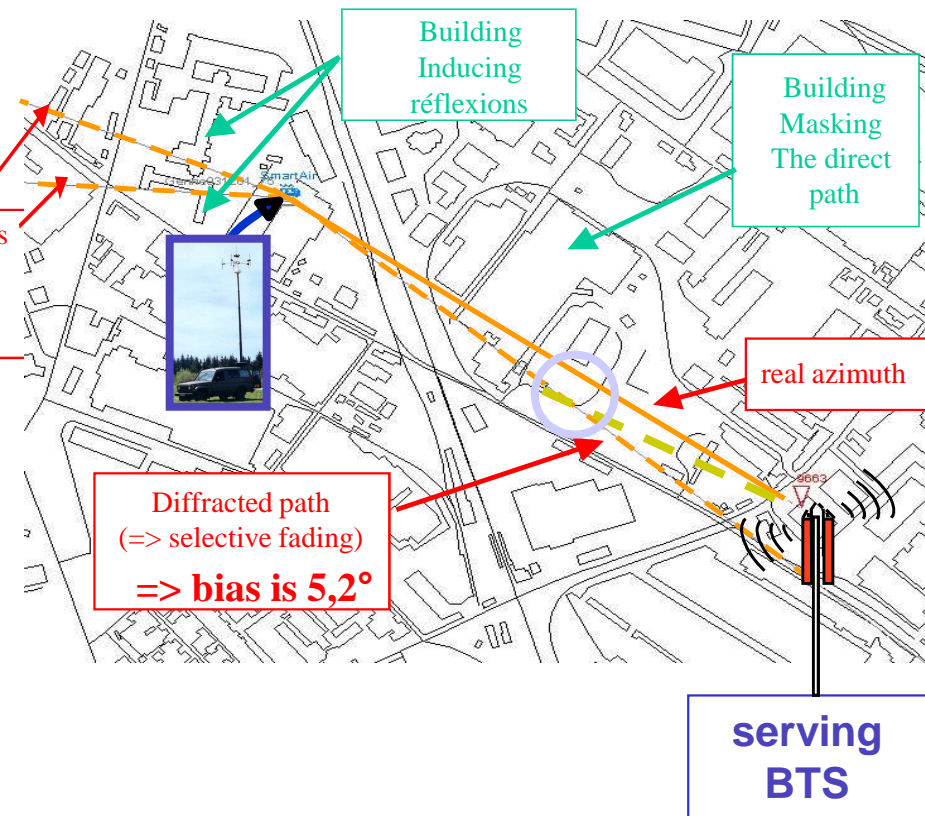
- radio-environments
- complements on Signal processing

Annex - Propagation environment: real field example

Analysis with a high resolution Direction Finder



GSM Radio-cellular
Real propagation case with masks
and proximate rreflections

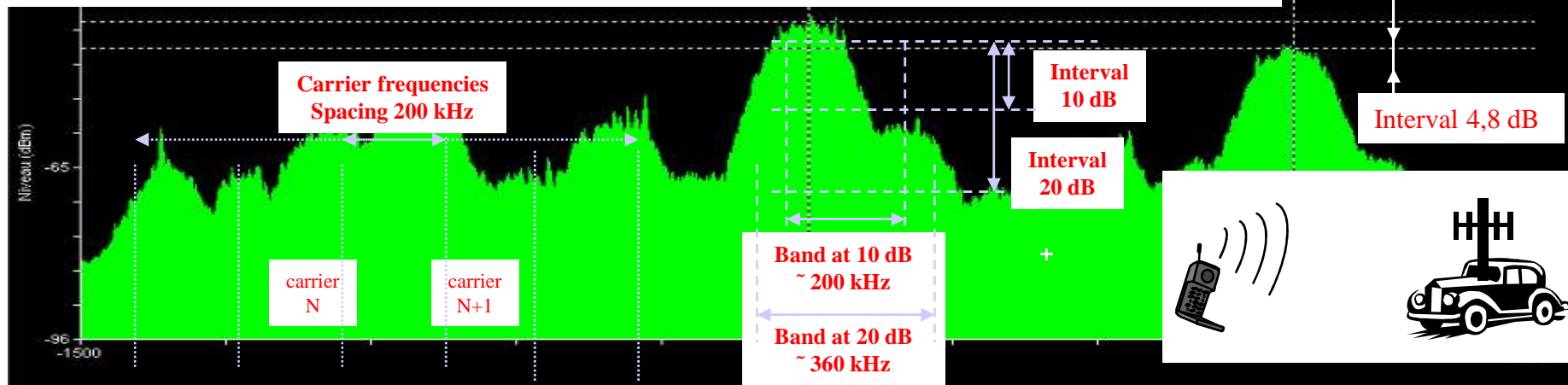


Annex - Propagation environment: real field example

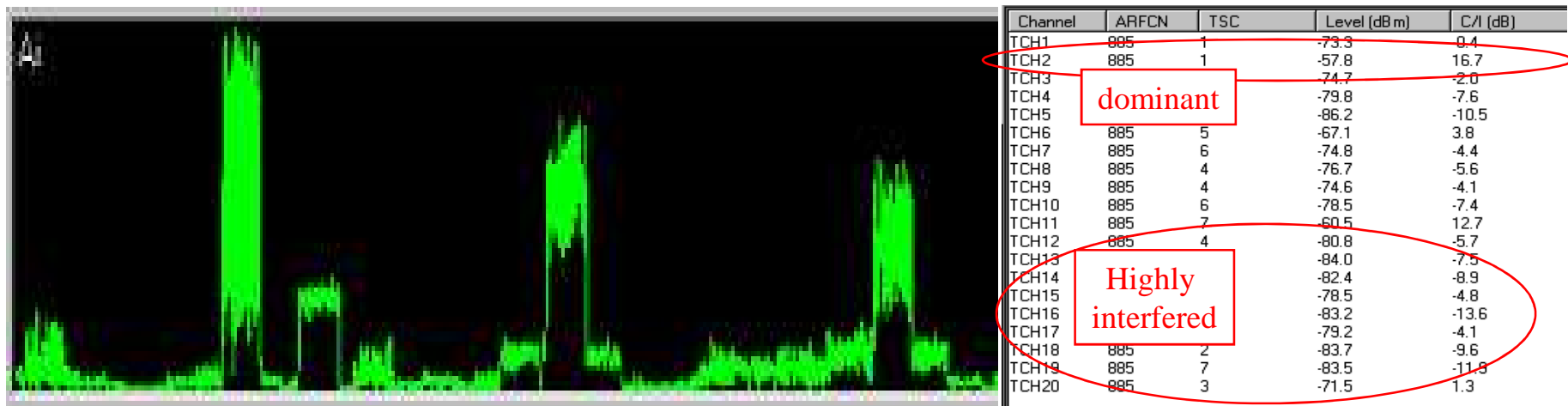
Analysis with a high resolution Direction Finder

Detection and counting of GSM Tx GSM. Dedicated smart antennas

2 MHz Spectrum of GSM band (high point (Paris Mt Valérien))



Measurement at one traffic GSM carrier (0,2 MHz) > 20 TCH – same location

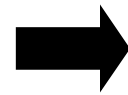
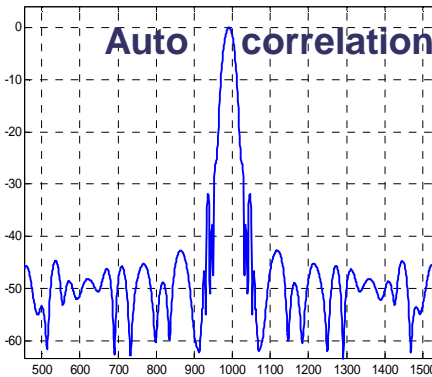
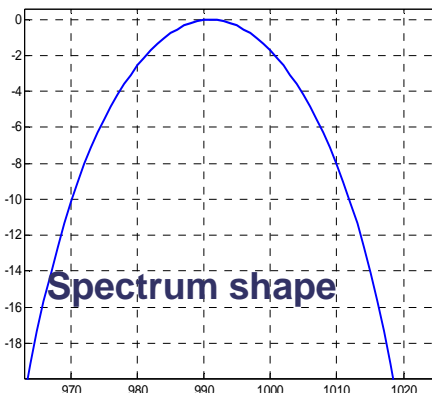


Information rights reserved / Thales. Tous droits réservés / Thales. Informations propriétés de Thales. All rights reserved

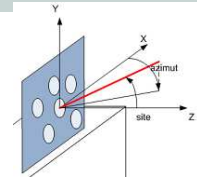
Annex - Propagation environment: real field example

Examples of SIMO measured CIR at 900 MHz

Reference scalar signal
 $[s(l.T_e)]_{l=0 \dots L-1}$
 PN long period L, 40 MHz
 Low side lobes
 Time resolution ~ 33 ns



Received N_{ant} x1 vector signal
 $[x(l'.T_e)]_{l'}$ scalar coordinate $[x_n(l'.T_e)]_{l'}$
 $\underline{x}(l'.T_e) = (H^*s)(l'.T_e) + \underline{b}(l'.T_e)$



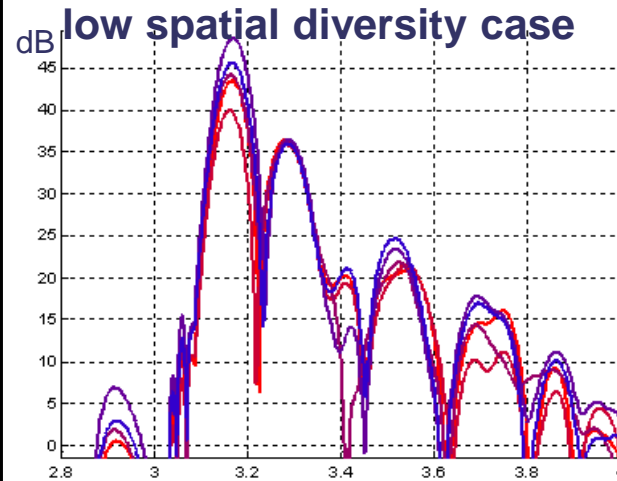
Example of WB CIR estimator per antenna build with :
 $\underline{S}=[s(0), \dots, s((l'+L-1).T_e)]$

for $n = 1..N_{\text{ant}}$, time vector signal $\underline{X}_n(l'.T_e)=[x_n(l'.T_e), \dots, x_n((l'+L-1).T_e)]$

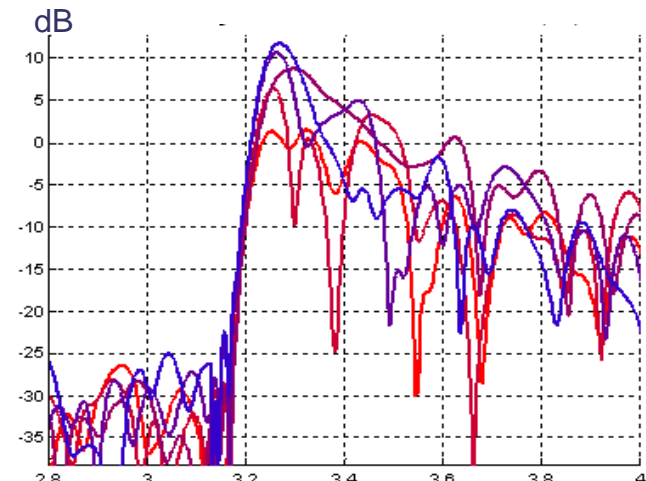
for $l'=0, \dots H_n(l'.T_e) \propto \underline{R}_{\underline{X}_n \underline{S}}(l'.T_e) = \underline{X}_n(l'.T_e) \cdot \underline{S}^H$ (scalar)

Ex of SIMO CIR
 900 MHz frequency ranges
 ~ 100 m sub-urb. outdoor
 propag.

low spatial diversity case



Ex of SIMO CIR
 900 MHz frequency ranges
 ~ 100 m sub-urb. outdoor propag.
 high spatial diversity case



A/ Wave Form Structure characterization

Narrow band / wide band signal

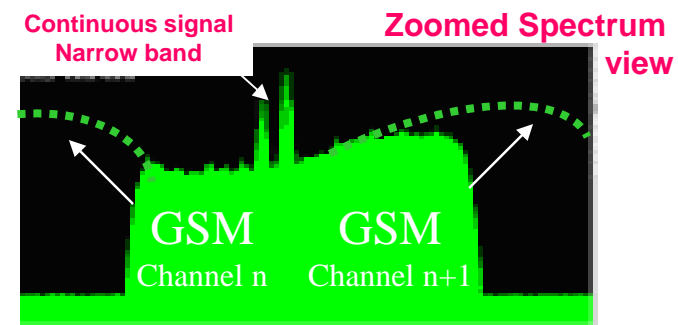
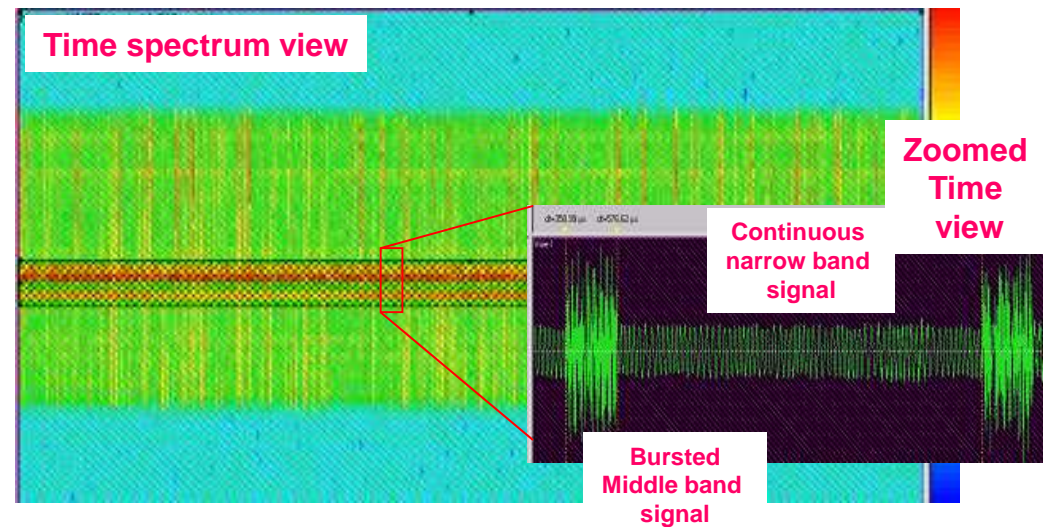
Continuous / bursted signal

Frame characteristics

Synchronization characteristics

Radio Access protocol characteristics

(FDMA, TDMA, CDMA, ...)



Oriented processing of communication signals

B/ Estimation of modulation parameters

Carrier center frequency

Signal bandwidth, Symbol rate,

Number of states, Constellation

Shift (FSK and CPM), FM depth, AM index...

Signal demodulation

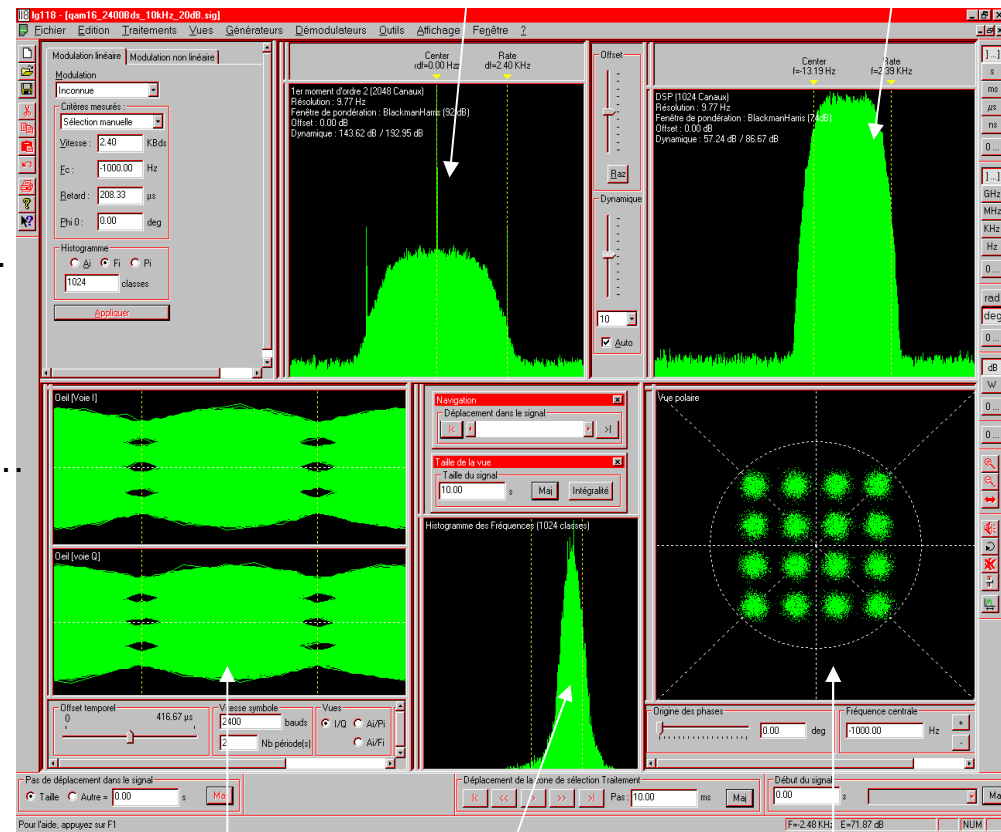
Single carrier AM/FM,CPM, PSK, QAM, FSK...

Multi carrier OFDM, etc.

Analyses of coding scheme

Signal identification

Data bases, semantic descriptions.

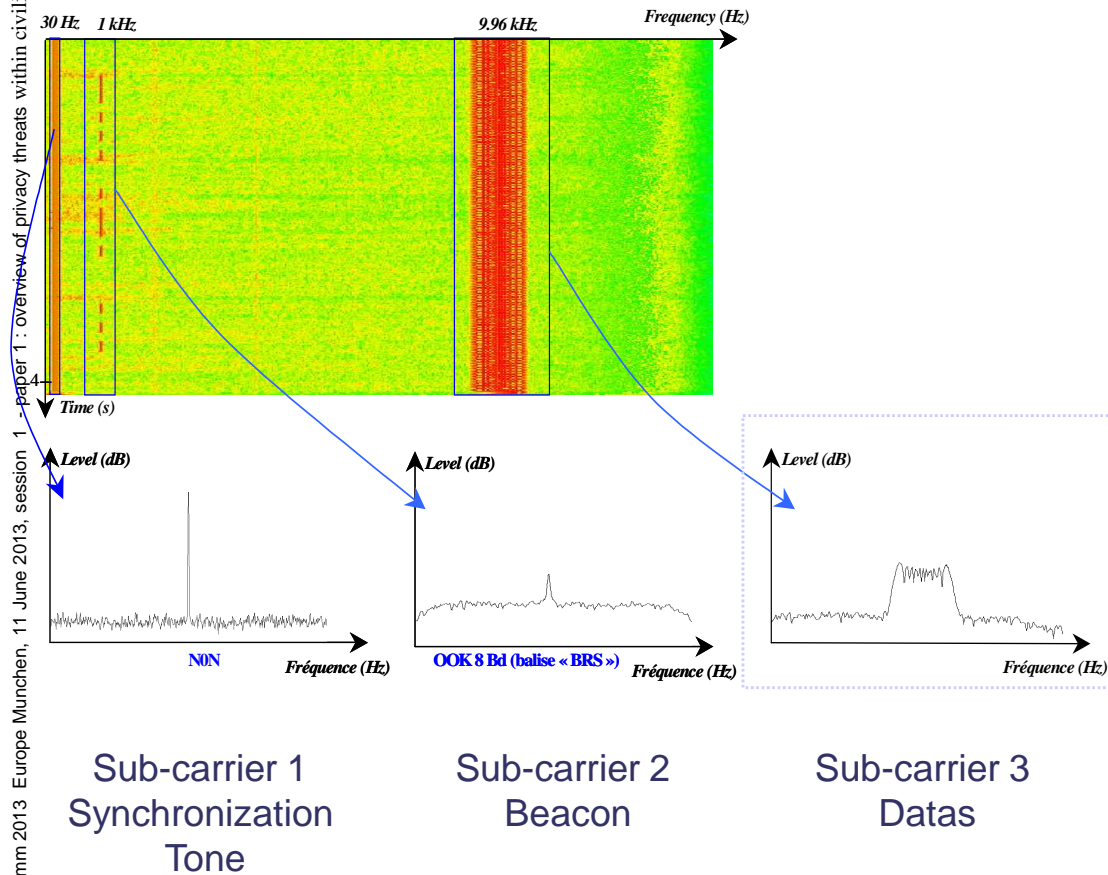
STATISTICAL MOMENTS,
Spectrum of non-linear transforms
of the signal, etc.SPECTRAL DENSITY
POWEREYE
DIAGRAMOTHER SIGNAL
STATISTICS
HISTOGRAMS,tetc.AMPLITUDE PHASE
POLAR DISPLAY

Oriented processing of communication signals

C/ Regular statistical estimators leading to measurement of modulation parameters

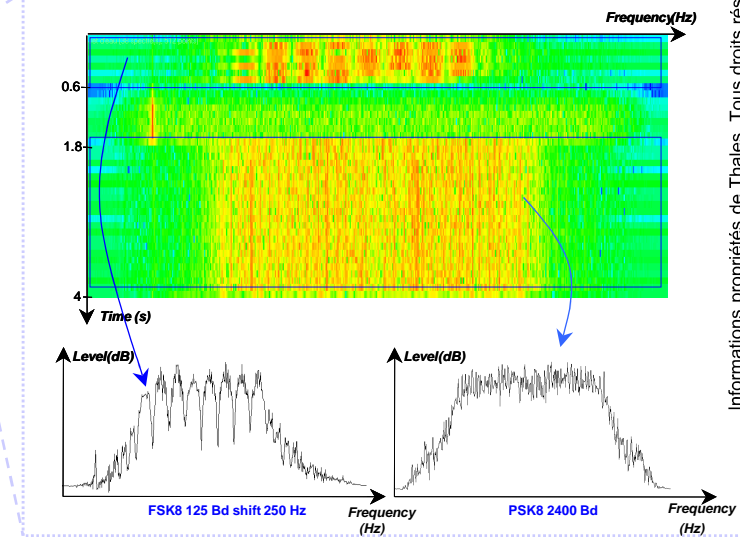
| Technical purpose | Power measurement | Estimation of center frequency | Estimation of Symbol rate | | Synchronization of symbol + demodulation | |
|--------------------------------------------------------------------|---------------------------|-------------------------------------------------------------|-----------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------------------------------|-----------------------------------|
| Statistical estimator | | | | | | |
| Signal example | Spectrum Power Density | Spectrum 1 st moment order 2 $E[x ^2]$ | Spectrum 2 nd moment order 2 $E[x^2]$ | Spectrum 2 nd moment order 4 $E[x^4]$ | Eye Diagram & Histograms I/Q, Amplitude phase frequency. | Eye Diagram & Polar Diagram |
| FSK2 Ind. 1 SNR 20 dB “PMR like” | | | | | | |
| GMSK Ind. 0,5 SNR 20 dB “GSM like” | | | | | | |
| O-QPSK Roll off 0,25 SNR 20 dB “CDMA 2000 UL like” | | | | | | |
| QPSK Roll off 0,25 SNR 20 dB “UMTS like” | | | | | | |

Base Band Filtered VOR signal with three Sub carrier
(VHF Omni directional Range for aeronautical radio navigation)



D/ A complete real field
example performed
with basic estimators

Deeper analysis of Sub-Carrier 3 :
modulation changes



Informations propriétés de Thales. Tous droits réservés / Thales proprietary information. All rights reserved

E/ Advanced statistical estimators of modulation parameters (cf. ICT QoS MOS)

• Cyclic Correlations:

- First moment order 2:
2D Fourier Transform ($t \rightarrow \alpha$)
of the correlation

$$R_{1x}(t, \tau) = E[x(t) x^*(t + \tau)]$$

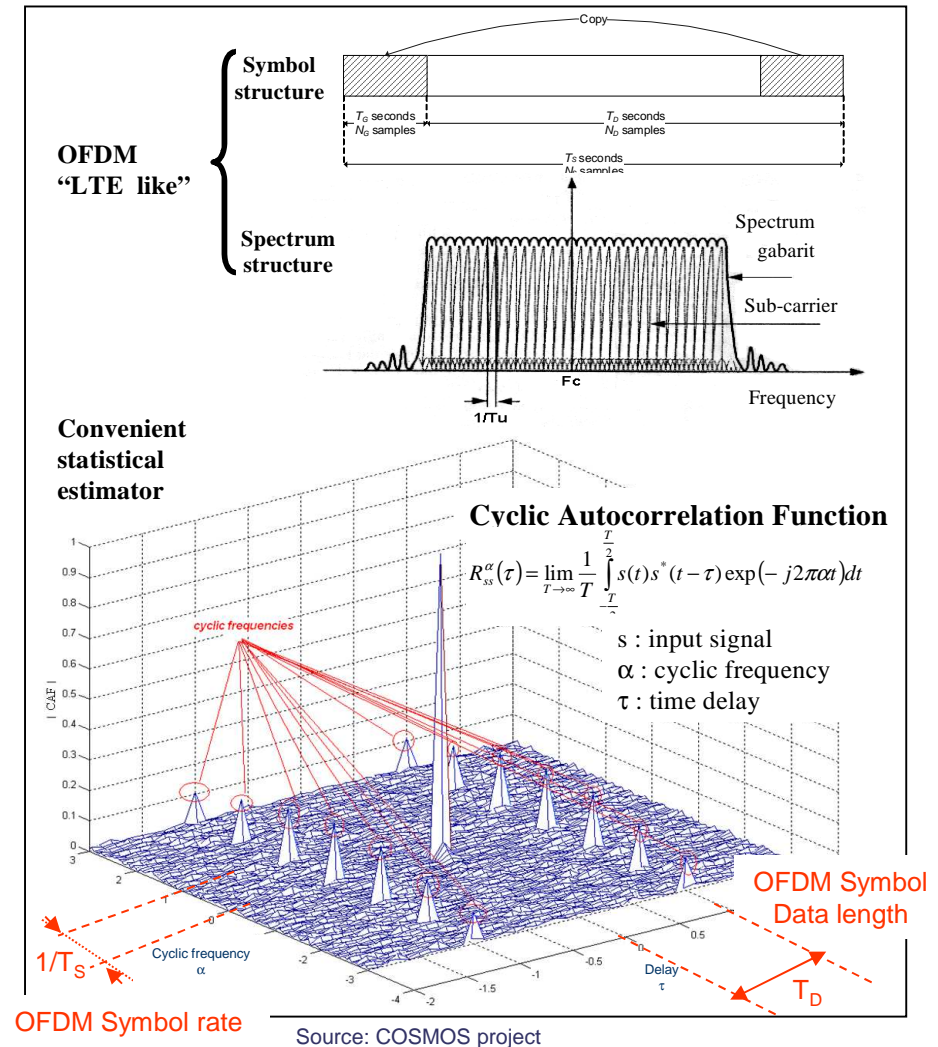
- Second moment order 2:
2D Fourier Transform ($t \rightarrow \alpha$)
of the correlation

$$R_{2x}(t, \tau) = E[x(t) x(t + \tau)]$$

- **Extracts the periodic statistical characteristics of the signal**
(guard time repetition \Rightarrow OFDM symbol length)

- **3D representation:** Level versus
and 2D cuts

$\left\{ \begin{array}{l} \text{delay } \tau, \\ \text{cyclic Frequency } \alpha \end{array} \right.$



E/ Advanced statistical estimators of modulation parameters

• Spectrum Correlations:

- First moment order 2: 2D Fourier Transform ($t \rightarrow \alpha$, $\tau \rightarrow \nu$) of correlation $R_{1x}(t, \tau) = E[x(t) x^*(t+\tau)]$
- Second moment order 2: 2D Fourier Transform ($t \rightarrow \alpha$, $\tau \rightarrow \nu$) of correlation $R_{2x}(t, \tau) = E[x(t) x(t+\tau)]$

• Extracts characteristics of periodic statistical properties of the signal (carrier, modulation rate) without any a priori knowledge (exotic signals)

- **3D representation and 2D cuts:** Level versus $\left\{ \begin{array}{l} \text{harmonic Frequency } \nu \\ \text{cyclic Frequency } \alpha \end{array} \right.$

